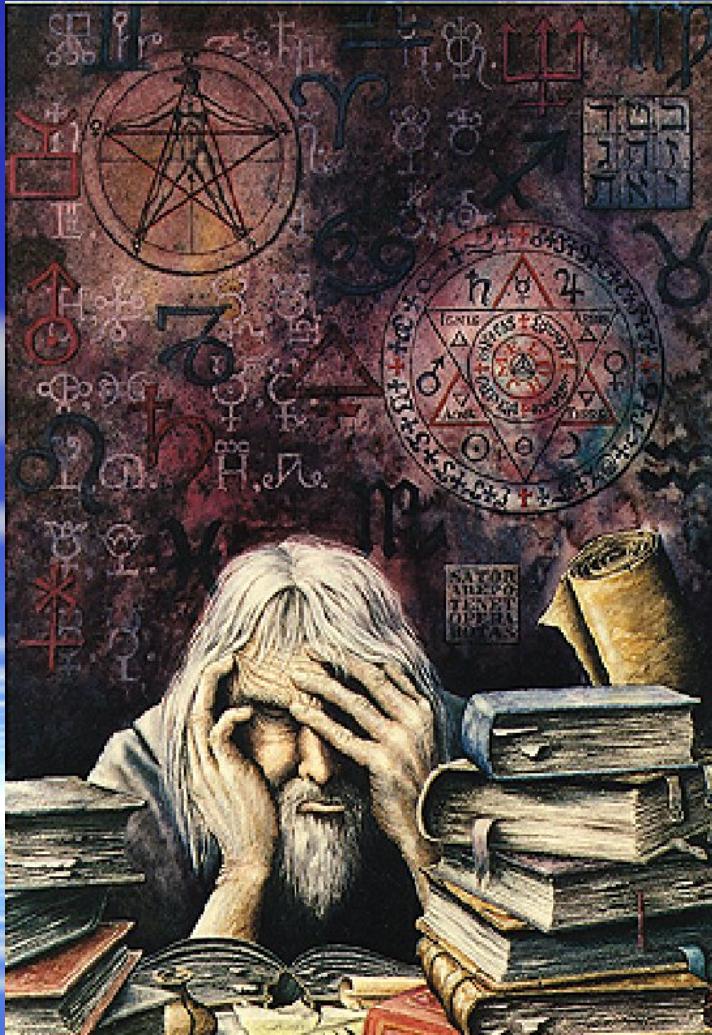


# Absolute Neutrino Mass Measurements

Kai Zuber  
Univ. of Oxford/ Univ. of Sussex

# Contents

- Beta decay
- Double beta decay
- Cosmological neutrino mass bounds
- Summary and conclusions



# Oscillation evidences

LSND

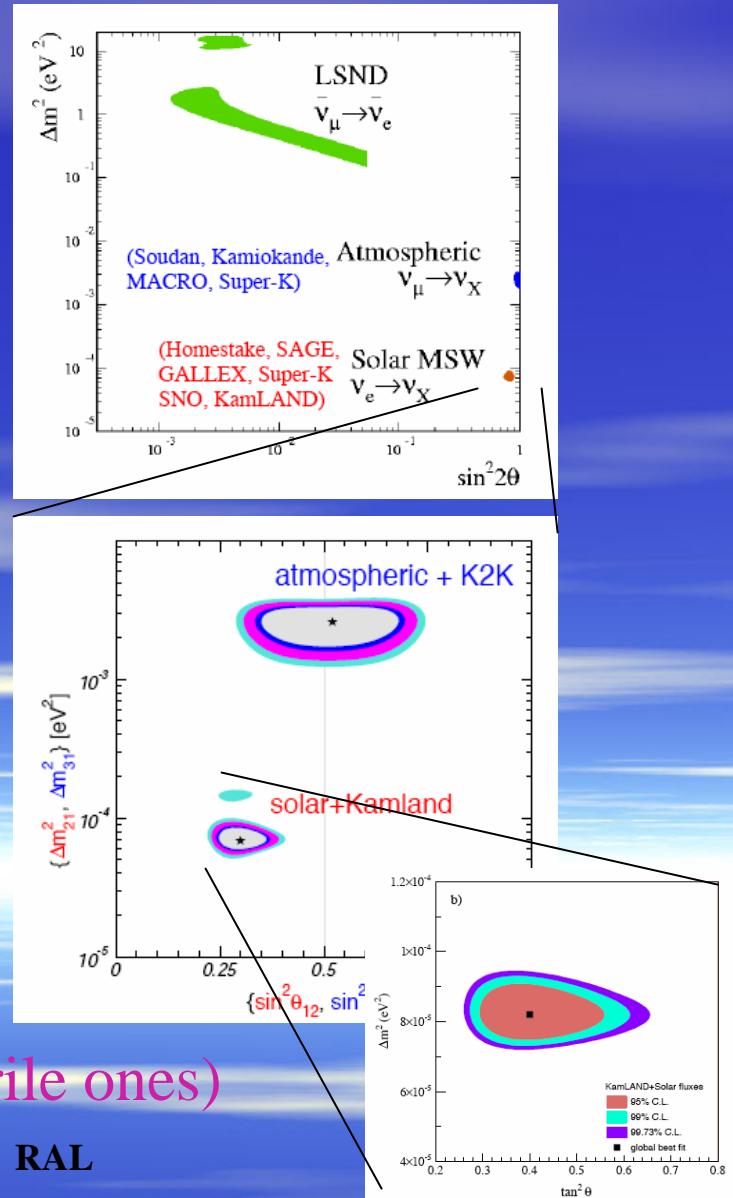
$$\sin^2 2\theta = 10^{-1} - 10^{-3}, \Delta m^2 = 0.1 - 6 \text{ eV}^2$$

Atmospheric

$$\sin^2 2\theta = 1.00, \Delta m^2 = 2.1 \times 10^{-3} \text{ eV}^2$$

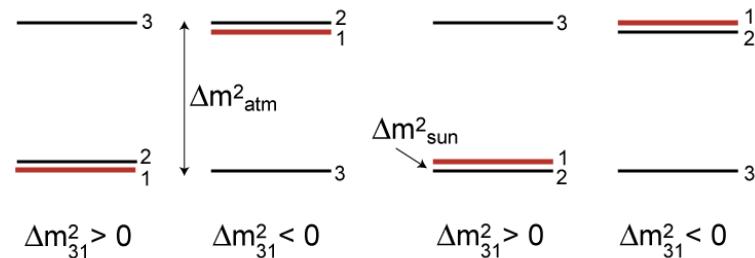
Solar + reactors

$$\sin^2 2\theta = 0.81, \Delta m^2 = 8.2 \times 10^{-5} \text{ eV}^2$$



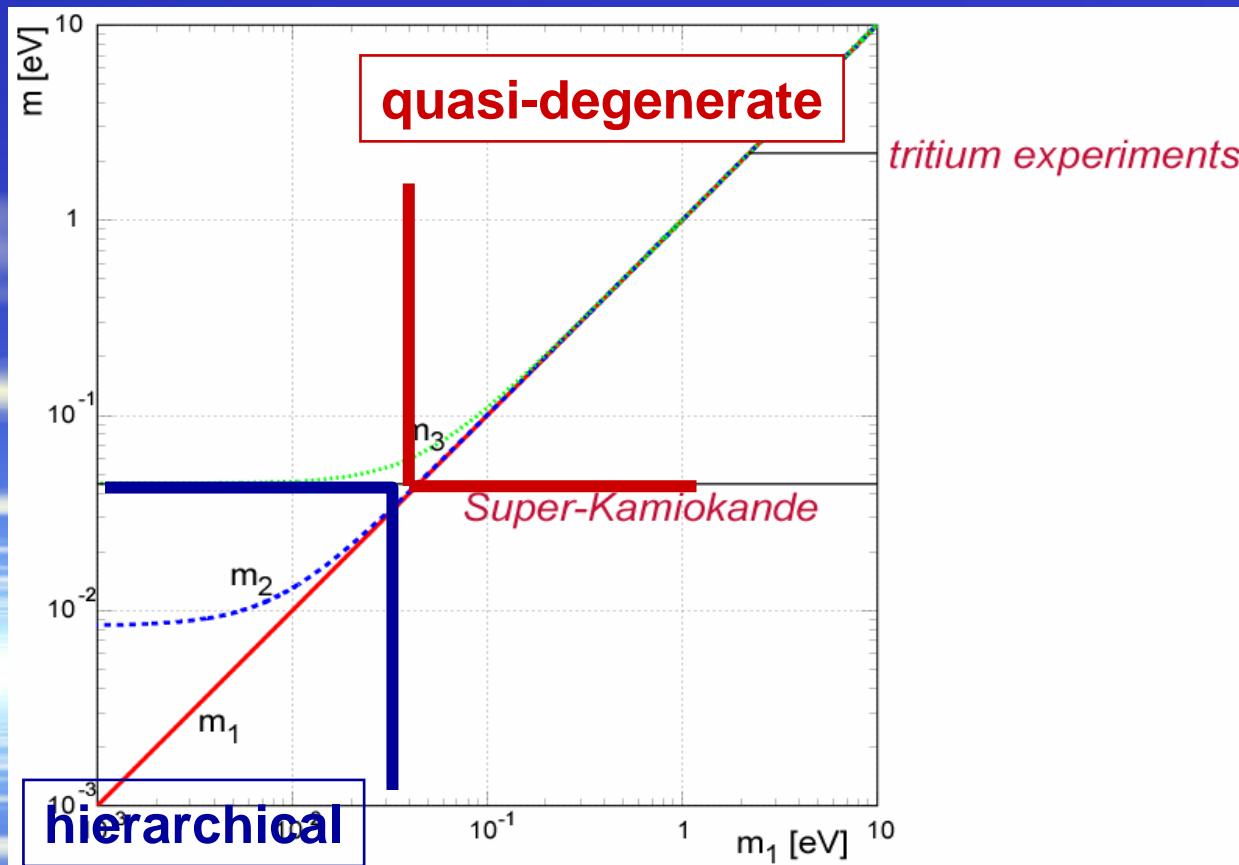
If all three are correct... we need more (sterile ones)

# Models of neutrino masses



# Neutrino mass schemes

„normal“ mass hierarchy  $m_1 < m_2 < m_3$



# Current neutrino mass limits

## Direct kinematical limits

$^3\text{H}$  decay:  $m_{\nu_e} < 2.3 \text{ eV}$

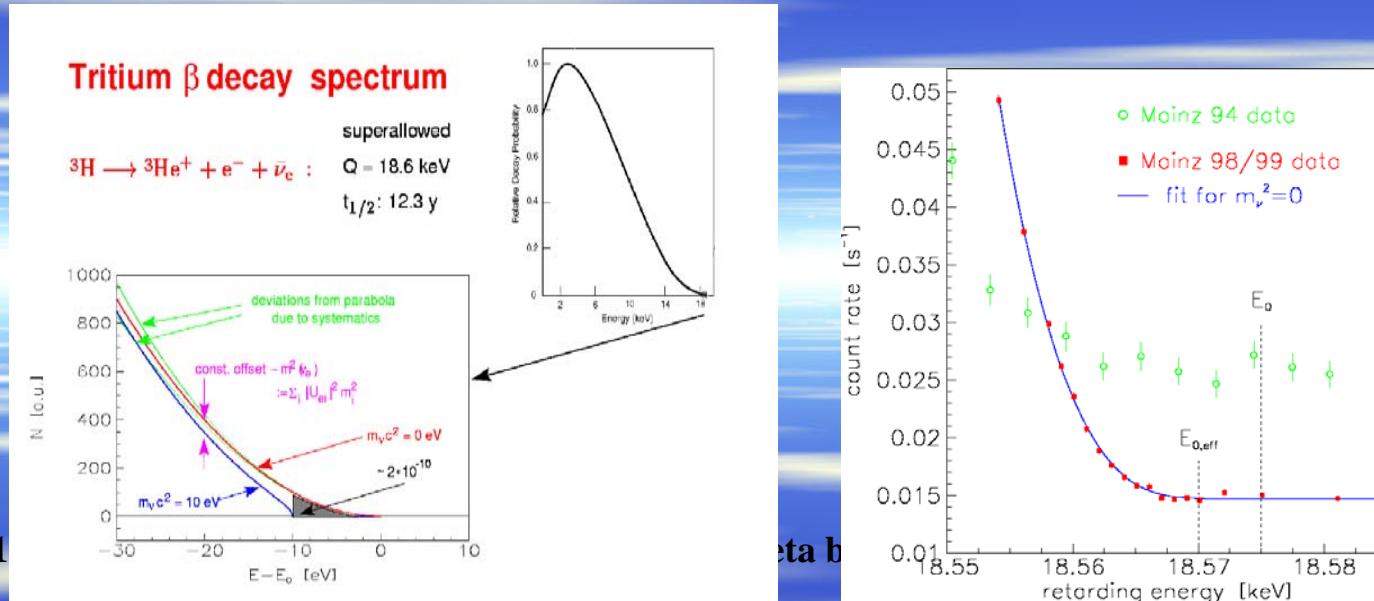
Mainz, Troitzk

Pion decay:  $m_{\nu_\mu} < 190 \text{ keV}$

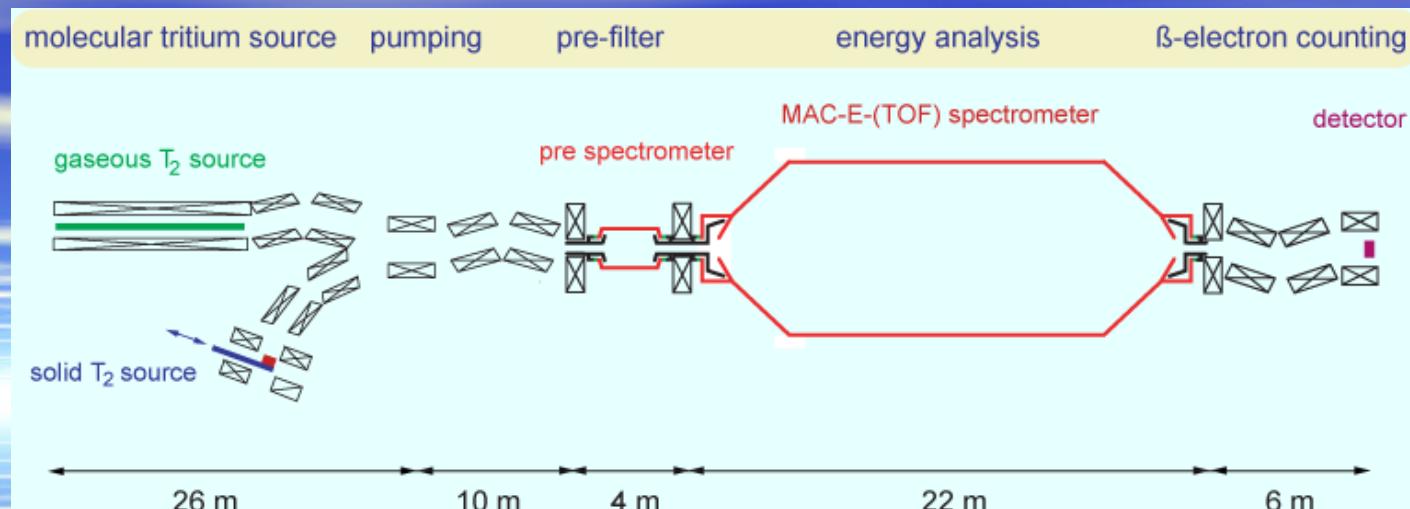
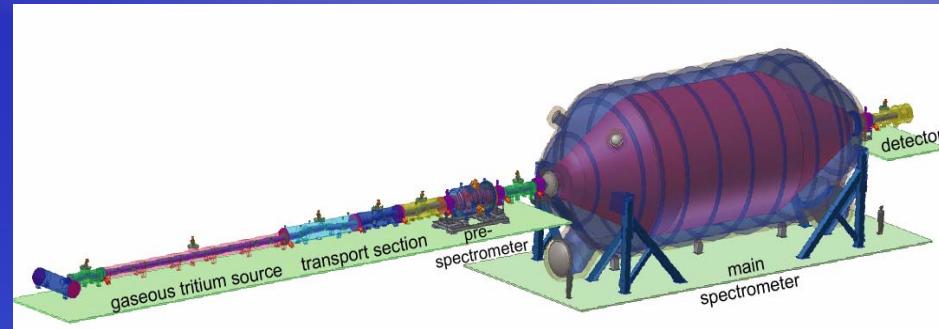
PSI

Tau decay:  $m_{\nu_\tau} < 18.2 \text{ MeV}$

LEP (Aleph)



# KATRIN-The ultimate beta-decay experiment

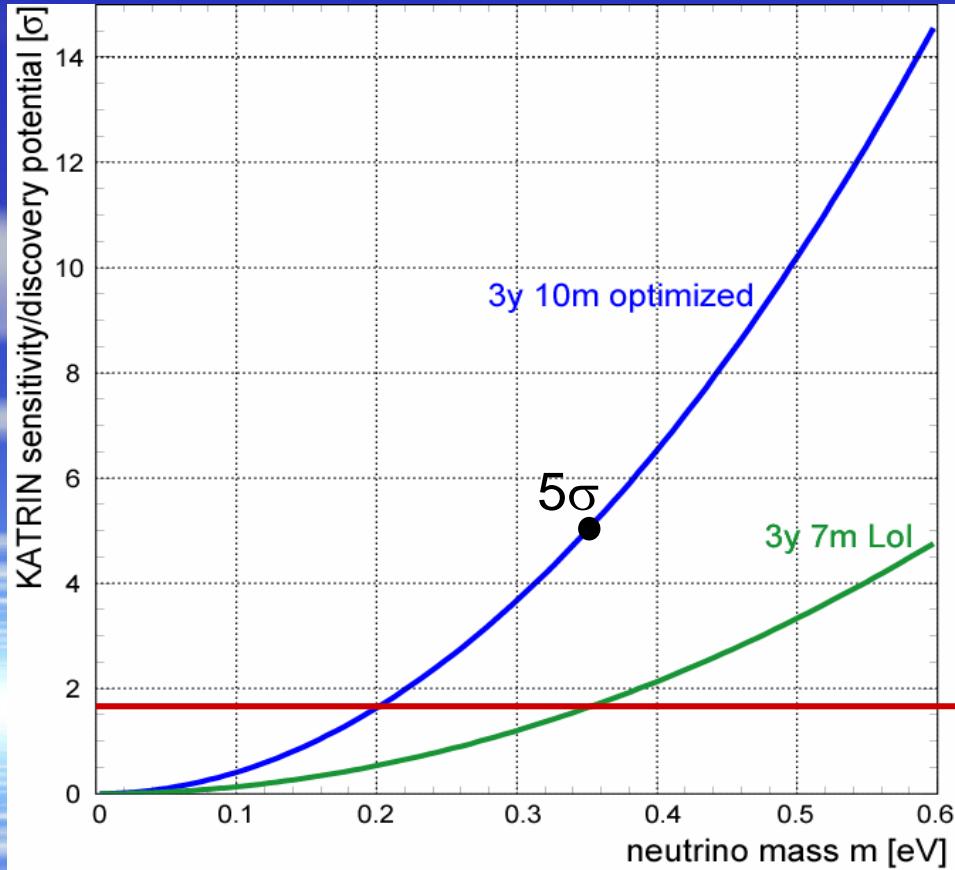


Discovery potential  $m_{\nu e} = 0.35$  eV at  $5\sigma$

Sensitivity  $m_{\nu e} < 0.2$  eV (90% CL)

Commissioning in 2008

# KATRIN sensitivity & discovery potential



expectation:

after 3 full beam years

$$\sigma_{\text{syst}} \sim \sigma_{\text{stat}}$$

$$m_\nu = 0.35 \text{ eV} (5\sigma)$$

$$m_\nu = 0.3 \text{ eV} (3\sigma)$$

discovery potential

$$m_\nu < 0.2 \text{ eV} (90\% \text{ CL})$$

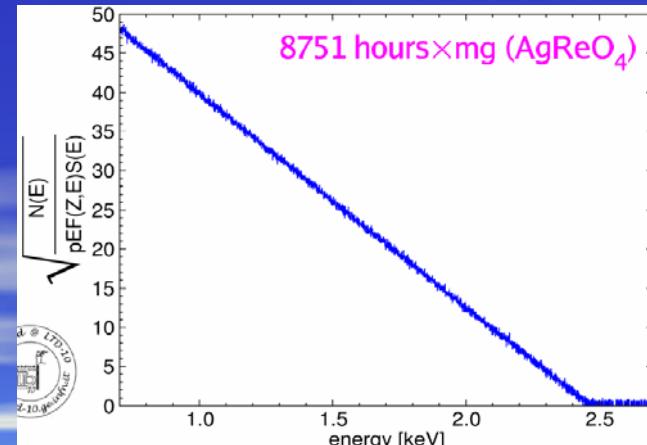
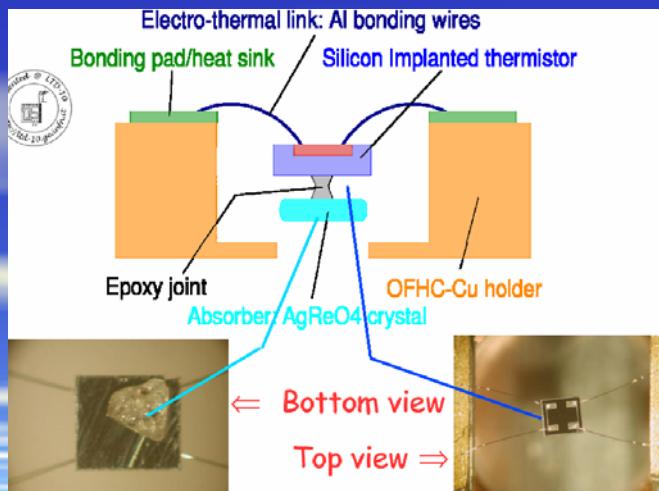
sensitivity

# Alternative approaches I



$$n(Q - \Delta E) \propto \left( \frac{\Delta E}{Q} \right)^3$$

$\mu$ -calorimeters working at mK



$$Q = 2465.3 \pm 0.5_{\text{stat}} \pm 1.6_{\text{syst}} \text{ eV}$$

(8751 h\*mg, NIMA520, 2004)

$$= 2466.1 \pm 0.8_{\text{stat}} \pm 1.5_{\text{syst}} \text{ eV}$$

(4485 h\*mg, PRL91,2003)

18. Jan. 2005

Workshop on beta beams, RAL

$$m_\nu^2 = -112 \pm 207 \pm 90 \text{ eV}^2$$

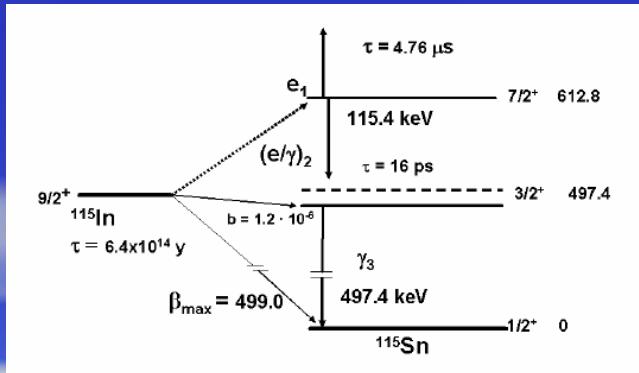
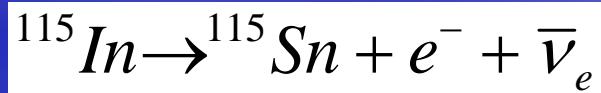
$m_\nu < 15 \text{ eV (90\%CL)}$

future:

proposal for a new  
calorimeter expt. with  
~2-3 eV sensitivity  
foreseen 2007 (?)

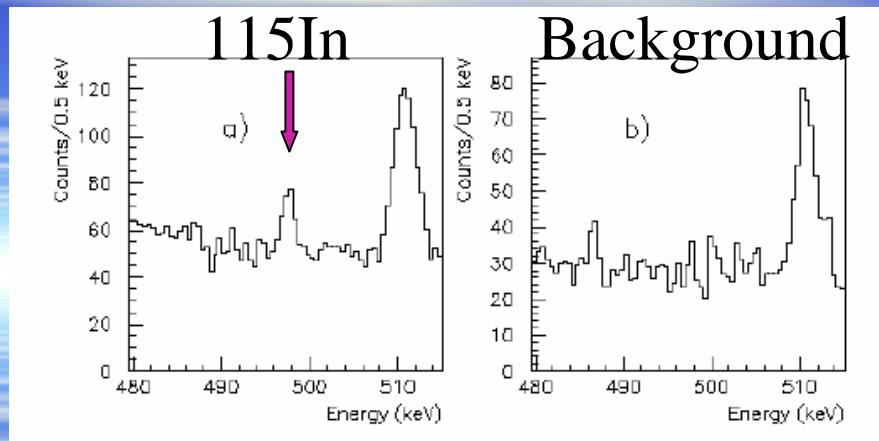
MIBETA,  
Genua

# Alternative approaches II



Observed line at 497.4 keV within test measurements for LENS

If real a Q-value for beta decay of  $2 \pm 4 \text{ keV}$

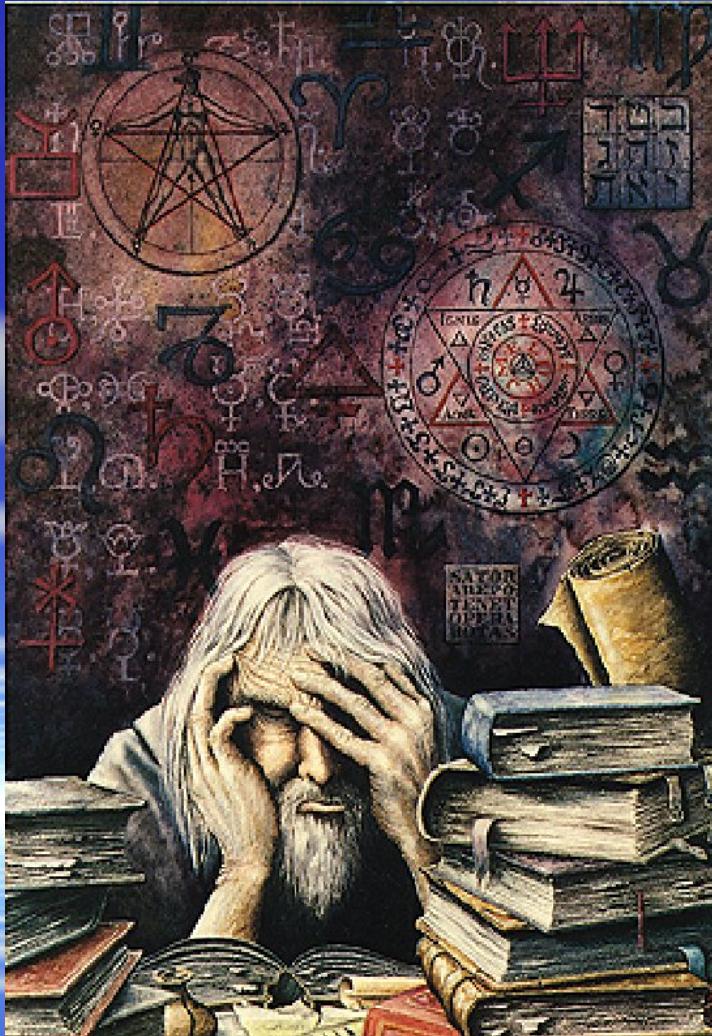


Origin of line has to be verified

C. M. Cattadori et al, nucl-ex/0407016

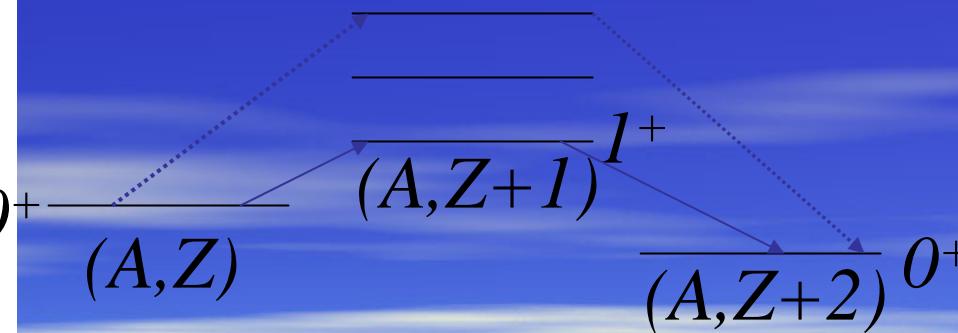
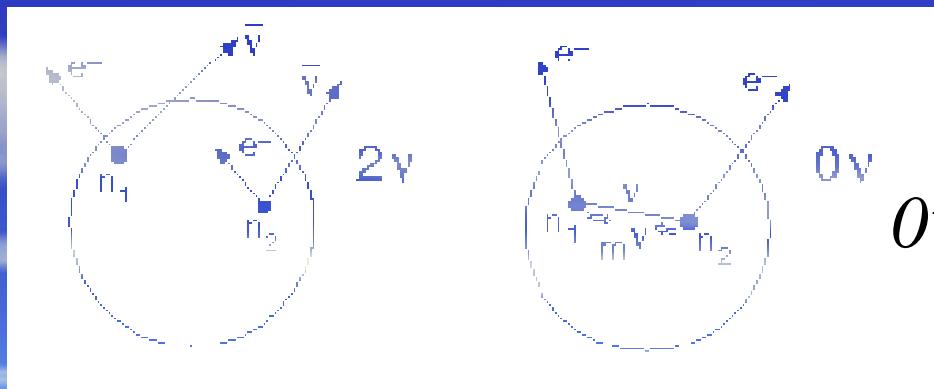
# Contents

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# Double beta decay

- $(A,Z) \rightarrow (A,Z+2) + 2 e^- + 2\bar{\nu}_e$        $2\nu\beta\beta$
- $(A,Z) \rightarrow (A,Z+2) + 2 e^-$        $0\nu\beta\beta$



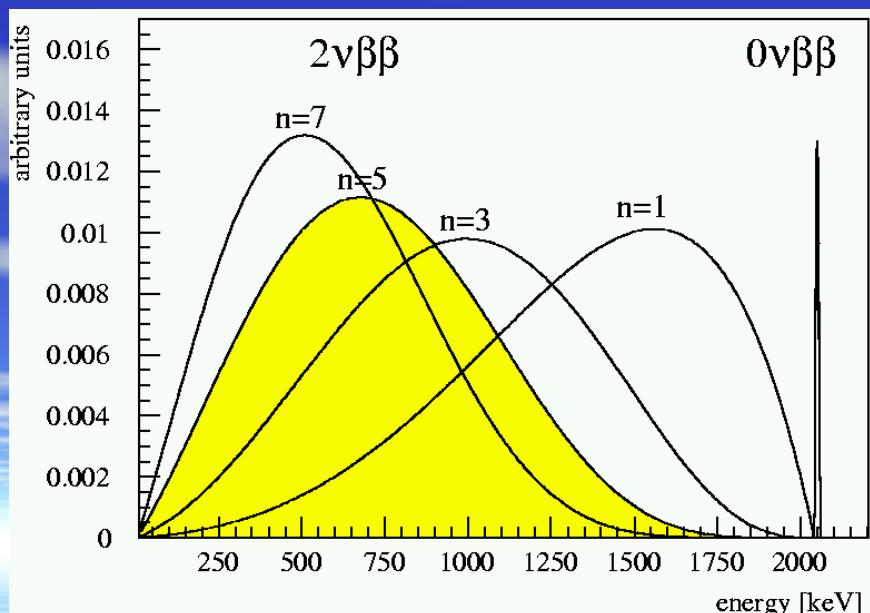
*In nature there are 35 isotopes*

$2\nu\beta\beta$ : Seen in 10 isotopes, important for nuclear physics input

$0\nu\beta\beta$ : Only possible if neutrinos are Majorana particles

# Spectral shapes

$0\nu\beta\beta$ : Peak at Q-value of nuclear transition



Measured quantity: Half-life

Dependencies (BG limited)

$$T_{1/2} \propto a \cdot \varepsilon (M \cdot t / \Delta E \cdot B)^{1/2}$$

link to neutrino mass

$$1 / T_{1/2} = PS * NME^2 * (m_\nu / m_e)^2$$

Sum energy spectrum of both electrons

# 3 Flavour oscillations (PMNS)

Analogous to CKM matrix

$$\begin{pmatrix} v_e \\ v_\mu \\ v_\tau \end{pmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{bmatrix} \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix} \Rightarrow \frac{\mathbf{m}_i^2}{2E_v} \Rightarrow \begin{pmatrix} v_e \\ v_\mu \\ v_\tau \end{pmatrix}$$

$$U = \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{i\delta} & 0 & \cos\theta_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\beta_1} & 0 \\ 0 & 0 & e^{i\beta_2} \end{pmatrix}$$

solar      If  $\sin\theta_{13} \neq 0 \rightarrow$  CP-violation    atmospheric

Majorana:  $U = U_{PMNS} \text{diag}(1, e^{i\alpha}, e^{i\beta})$

# Physical quantities

Experimental observable: Half-life

Double beta decay: Effective Majorana neutrino mass

$$\langle m_{\nu} \rangle \equiv m_{ee} = \left| \sum_k U_{ek}^2 m_k \right| = \left| \sum_k |U_{ek}|^2 e^{i\alpha_{ek}} m_k \right|$$

*relative CP phases =  $\pm l$*

Beta decay

$$m_e = \Sigma |U_{ek}|^2 m_k$$

# Phase space

$0\nu\beta\beta$  decay rate scales with  $Q^5$

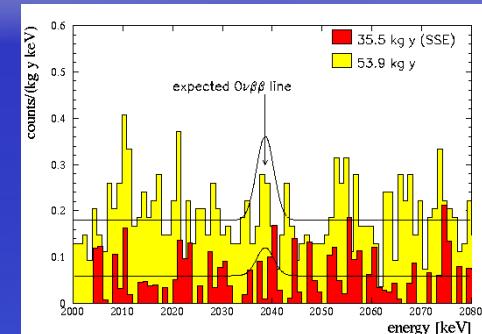
$2\nu\beta\beta$  decay rate scales with  $Q^{11}$

<i>Isotope</i>	<i>Q-value</i> (keV)	<i>Nat. abund.</i> (%)	$(PS \ 0\nu)^{-1}$ (yrs)	$(PS \ 2\nu)^{-1}$ (yrs)
Ca 48	4271	0.187	4.10E24	2.52E16
Ge 76	2039	7.8	4.09E25	7.66E18
Se 82	2995	9.2	9.27E24	2.30E17
Zr 96	3350	2.8	4.46E24	5.19E16
Mo 100	3034	9.6	5.70E24	1.06E17
Pd 110	2013	11.8	1.86E25	2.51E18
Cd 116	2809	7.5	5.28E24	1.25E17
Sn 124	2288	5.64	9.48E24	5.93E17
Te 130	2529	34.5	5.89E24	2.08E17
Xe 136	2479	8.9	5.52E24	2.07E17
Nd 150	3367	5.6	1.25E24	8.41E15

# Heidelberg -Moscow



- Five Ge Diodes (mass 10.9 kg)
- Isotopically enriched ( 86%) in  $^{76}\text{Ge}$
- lead shield and nitrogen purging
- Peak at 2039 keV

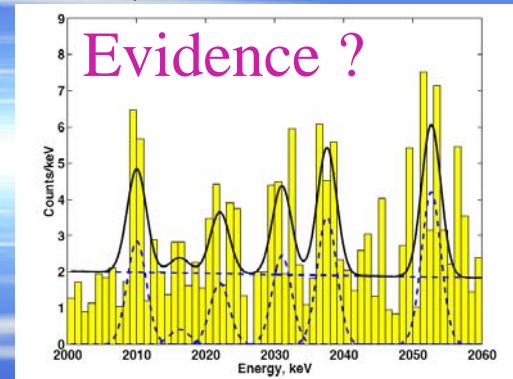


H.V. Klapdor-Kleingrothaus et al,  
Europ. Phys. J. A 12, 147 (2001)

$$T_{1/2} > 1.9 \times 10^{25} \text{ yr (90% CL)}$$

$$\rightarrow m < 0.35 \text{ eV}$$

Subgroup of collaboration



$$T_{1/2} = 0.6 - 8.4 \times 10^{25} \text{ yr}$$

$$m = 0.17 - 0.63 \text{ eV}$$

H.V. Klapdor-Kleingrothaus et al,  
Phys. Lett. B 586, 198 (2004)

# If peak is real...

1.) Go out and check (GERDA, MAJORANA)

Is peak something specific to Ge?

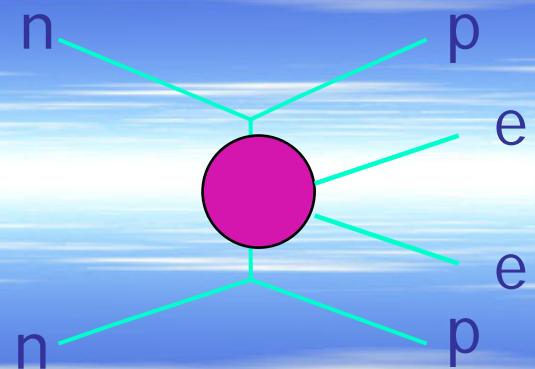
Uncertainties in the nuclear matrix elements?

→ Check with a different isotope

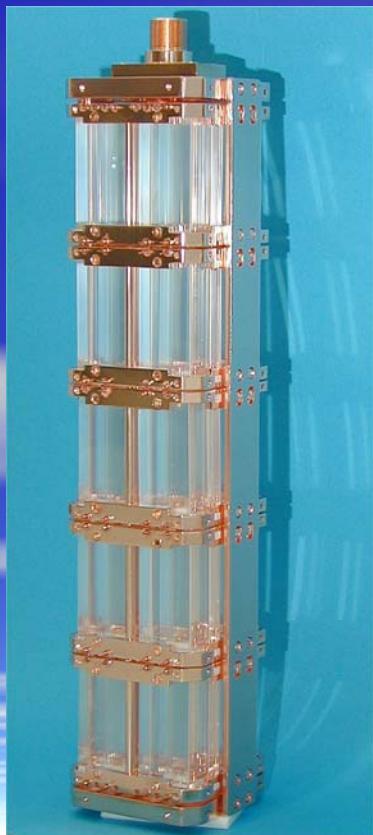
Physics mechanism at work ?

→ Tracking

2.) NEMO, COBRA

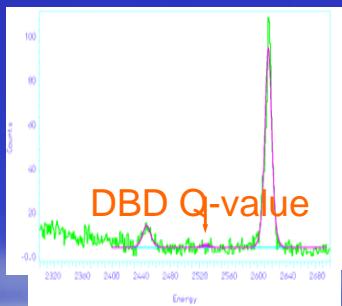


# Running experiments



CUORICINO: cryogenic bolometers

40.7 kg TeO<sub>2</sub>



$T_{1/2} > 7.5 \times 10^{23}$  yr (90% CL)

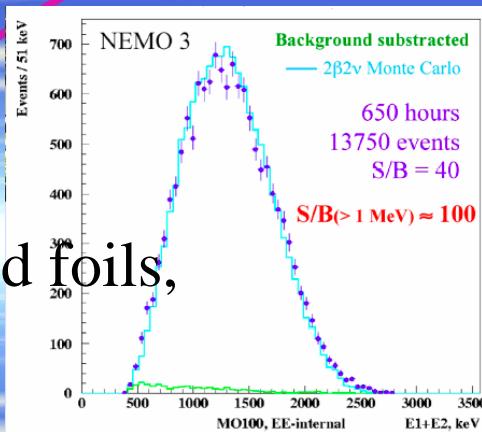
E. Fiorini, Neutrino 2004

Future: CUORE  
760 kg TeO<sub>2</sub>,  
approved

10 kg enriched foils,  
6 kg <sup>100</sup>Mo

18. Jan. 2005       $T_{1/2} > 3.1 \times 10^{23}$  yr (90% CL)

Workshop on beta beams, RAL



NEMO-3: TPC



Idea:

Super-NEMO (100 kg)

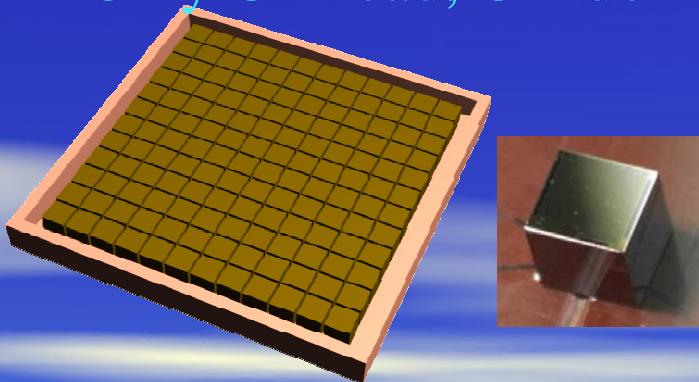
# COBRA



## Use CdZnTe semiconductors

Only UK lead, UK dominated experiment

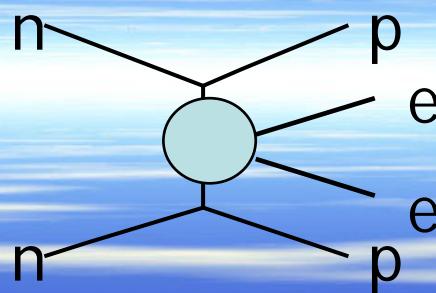
already 5 world best limits



K. Zuber,  
Phys. Lett. B 519,1 (2001)

„Solid state TPC“

4 detectors running at LNGS, upgrade to 64 by spring 2005



Sensitivity to right-handed weak currents

- $(A, Z) \rightarrow (A, Z-2) + 2 e^+ (+2\nu_e) \quad \beta+\beta+$
- $e^- + (A, Z) \rightarrow (A, Z-2) + e^+ (+2\nu_e) \quad \beta+/EC$
- $2 e^- + (A, Z) \rightarrow (A, Z-2) (+2\nu_e) \quad EC/EC$

# Cobra - The people

C. Gößling, H. Kiel, D. Münstermann, S. Oehl, T. Villett  
University of Dortmund

T. Leigertwood, D. McKechan, C. Reeve, J. Wilson, K. Zuber  
University of Sussex

P.F. Harrison, Y. Ramachers, D. Stewart  
University of Warwick

A. Boston, P. Booth, P. Nolan  
University of Liverpool

B. Fulton, R. Wadsworth  
University of York

T. Bloxham, M. Freer  
University of Birmingham

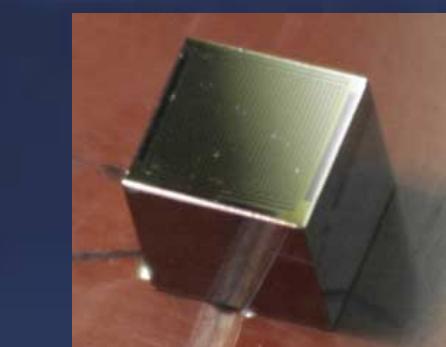
P. Seller  
Rutherford Appleton Laboratory

M. Junker  
Laboratori Nazionali del Gran Sasso

# Next step - the 2x2 prototype

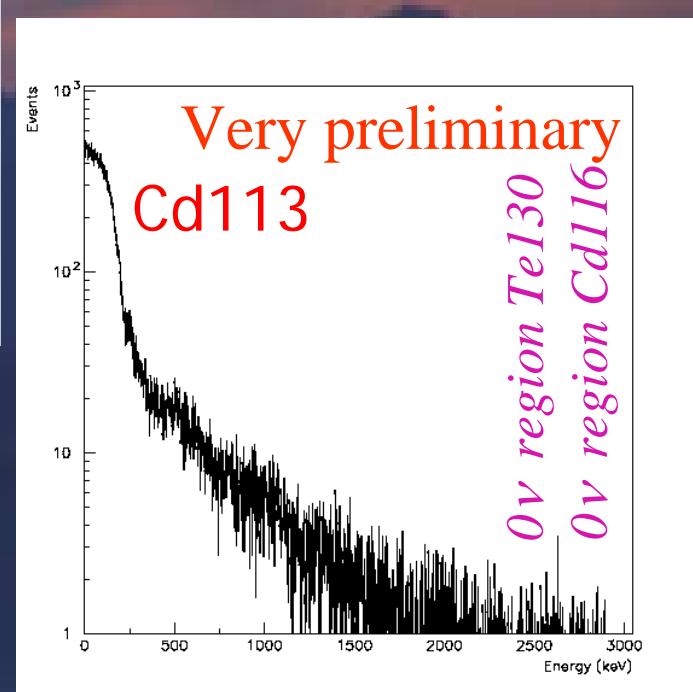
Installation of setup at Gran Sasso Underground Laboratory

4 naked 1cm<sup>3</sup> CdZnTe



10. Jan. 2005

Workshop on beta beams, RAL

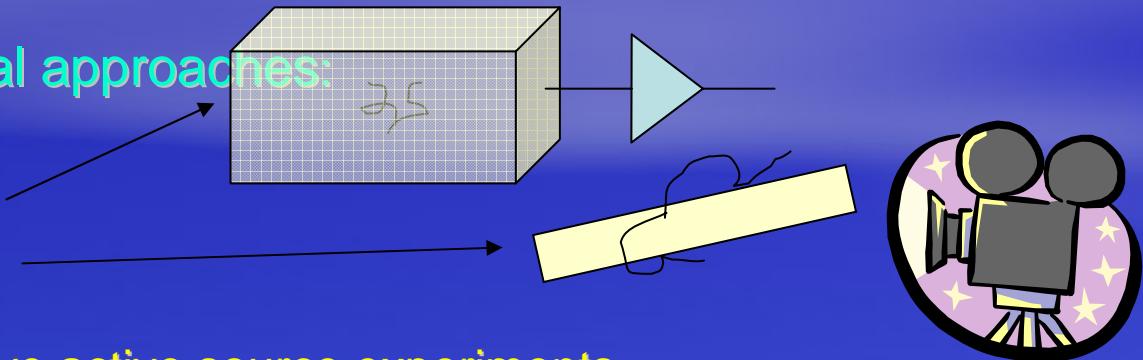


1.34 kg x days of data

# $0\nu\beta\beta$ Experimental Situation

2 main experimental approaches:

- Active Source
- Passive Source



Best  $0\nu 2\beta$  results involve active source experiments

Experiment	Isotope	$T_{1/2}^{0\nu} (y)$	$\langle m_\nu \rangle (\text{eV})$
You Ke et al. 1998	$^{48}\text{Ca}$	$> 9.5 \times 10^{21} (76\%)$	$< 8.3$
Klapdor-Kleingrothaus 2001	$^{76}\text{Ge}$	$> 1.9 \times 10^{25}$	$< 0.35$
Aalseth et al 2002		$> 1.57 \times 10^{25}$	$< 0.33 - 1.35$
Arnold et al. 2004	$^{82}\text{Se}$	$> 1.3 \times 10^{23}$	$< 1.5 - 3.1$
Arnold et al. 2004	$^{100}\text{Mo}$	$> 3.1 \times 10^{23}$	$< 0.33 - 0.84$
Danevich et al. 2000	$^{116}\text{Cd}$	$> 1 \times 10^{23}$	$< 2.2$
Bernatowicz et al. 1993	$^{130}/^{128}\text{Te}^*$	$(3.52 \pm 0.11) \times 10^{-4}$	$< 1.1 - 1.5$
Bernatowicz et al. 1993	$^{128}\text{Te}^*$	$> 7.7 \times 10^{24}$	$< 1.1 - 1.5$
Arnaboldi et al. 2005	$^{130}\text{Te}$	$> 1.8 \times 10^{24}$	$< 0.5 - 1.1$
Luescher et al. 1998	$^{136}\text{Xe}$	$> 4.4 \times 10^{23}$	$< 1.8 - 5.2$
Belli et al. 2001	$^{136}\text{Xe}$	$> 7 \times 10^{23}$	$< 1.4 - 4.1$
De Silva et al. 18 Jan. 2005	$^{150}\text{Nd}$	$> 1.2 \times 10^{21}$	$< 3$
Danevich et al. 2001	$^{160}\text{Gd}$	$> 1.3 \times 10^{21}$	$< 26$

Workshop on beta beams, RAI

# Back of the envelope

$$T_{1/2} = \ln 2 \cdot a \cdot N_A \cdot M \cdot t / N_{\beta\beta} \quad (\tau \gg T) \quad (\text{Background free})$$

50 meV implies half-life measurements of  $10^{26-27}$  yrs

1 event/yr you need  $10^{26-27}$  source atoms

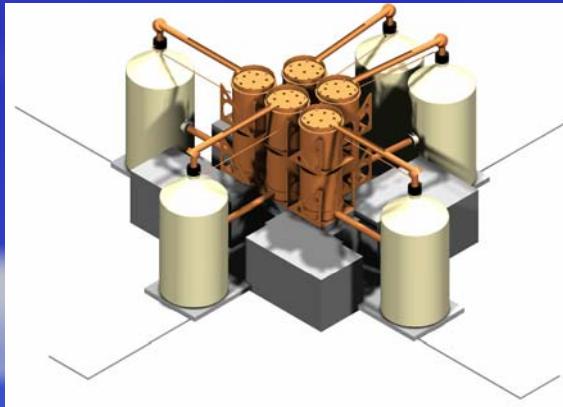
This is about 1000 moles of isotope, implying 100 kg

Now you only can loose: nat. abundance, efficiency, background, ...

# Future projects

Experiment	Author	Isotope	Detector description	$T_{5\gamma}^{1/2}$ (y)	$\langle m_\nu \rangle^*$
COBRA	Zuber 2001	$^{116}\text{Cd}$	10 kg CdTe semiconductors	$1 \times 10^{24}$	0.71
CUORICINO	Arnaboldi et al 2001	$^{130}\text{Te}$	40 kg of $\text{TeO}_2$ bolometers	$1.5 \times 10^{25}$	0.19
NEMO3	Sarazin et al 2000	$^{100}\text{Mo}$	10 kg of bb(0n) isotopes (7 kg Mo) with tracking	$4 \times 10^{24}$	0.56
CUORE	Arnaboldi et al. 2001	$^{130}\text{Te}$	760 kg of $\text{TeO}_2$ bolometers	$7 \times 10^{26}$	0.027
EXO	Danevich et al 2000	$^{136}\text{Xe}$	1 t enriched Xe TPC	$8 \times 10^{26}$	0.052
GEM	Zdesenko et al 2001 Klapdor-Kleingrothaus et al 2001	$^{76}\text{Ge}$	1 t enriched Ge diodes in liquid nitrogen + water shield	$7 \times 10^{27}$	0.018
GENIUS	Klapdor-Kleingrothaus et al 2001	$^{76}\text{Ge}$	1 t enriched Ge diodes in liquid nitrogen	$1 \times 10^{28}$	0.015
MAJORANA	Aalseth et al 2002	$^{76}\text{Ge}$	0.5 t enriched Ge segmented diodes	$4 \times 10^{27}$	0.025
DCBA	Ishihara et al 2000	$^{150}\text{Nd}$	20 kg enriched Nd layers with tracking	$2 \times 10^{25}$	0.035
CAMEO	Bellini et al 2001	$^{116}\text{Cd}$	1 t $\text{CdWO}_4$ crystals in liquid scintillator	$> 10^{26}$	0.069
CANDLES	Kishimoto et al	$^{48}\text{Ca}$	several tons of $\text{CaF}_2$ crystal in liquid scintillator	$1 \times 10^{26}$	
GSO	Danevich 2001	$^{160}\text{Gd}$	2 t $\text{Gd}_2\text{SiO}_5:\text{Ce}$ cristal scintillator in liquid scintillator	$2 \times 10^{26}$	0.065
MOON	Ejiri et al 2000	$^{100}\text{Mo}$	34 t natural Mo sheets between plastic scintillator	$1 \times 10^{27}$	0.036
Xe	Caccianiga et al 2001	$^{136}\text{Xe}$	1.56 t of enriched Xe in liquid scintillator	$5 \times 10^{26}$	0.066
XMASS	Moriyama et al 2001	$^{136}\text{Xe}$	10 t of liquid Xe	$3 \times 10^{26}$	0.086

# Future - Ge approaches



Segmentation and  
pulse shape  
discrimination

20 kg enriched Ge-detectors  
at hand (former HD-MO and  
IGEX)

MERGE

18. Jan. 2005

Workshop on beta beams, RAL

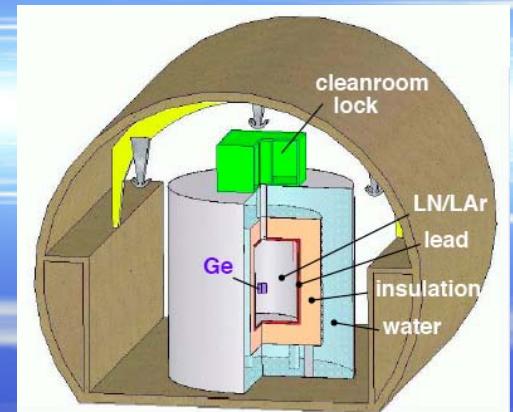
MAJORANA

500 kg of enriched  
Ge detectors



GERDA

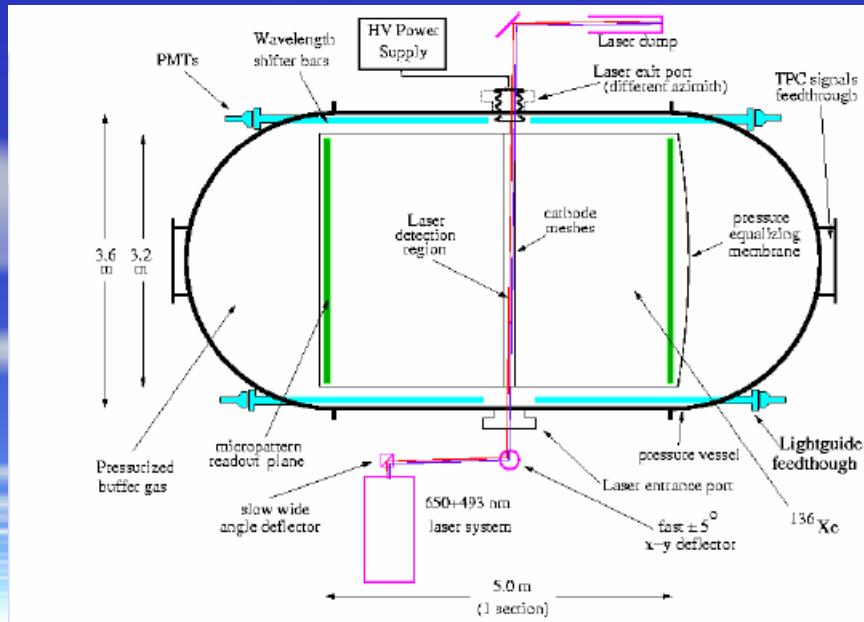
Naked enriched Ge-crystals in  
LAr with lead shield





# EXO

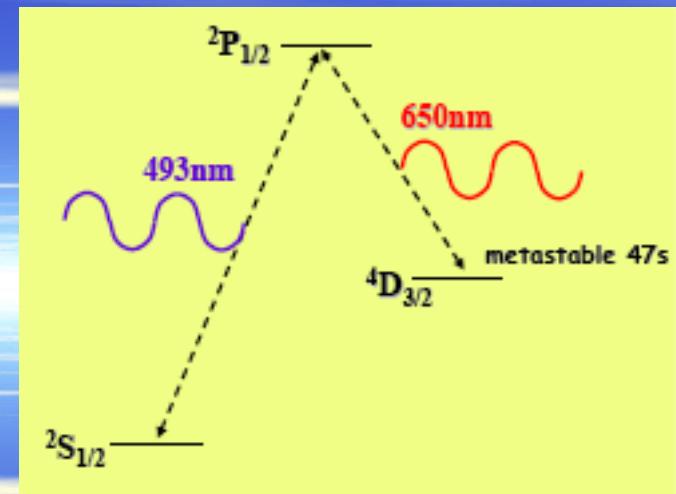
## Tracking and scintillation



200 kg enriched Xe prototype  
under construction at WIPP

New feature:

$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}^{++} e^- e^-$  final state can be identified using optical spectroscopy  
(M.Moe PRC44 (1991) 931)



# L=2 processes

Im general 9 mass terme

- $\mu e$ -conversion on nuclei
- $\nu_\mu N \rightarrow \mu^- \mu^+ \mu^+ X$

M. Flanz, W. Rodejohann, K. Zuber,  
Eur. Phys. J. C 16, 453 (2001)

W. Rodejohann, K. Zuber,  
Phys. Rev. D 63, 054031 (2001)

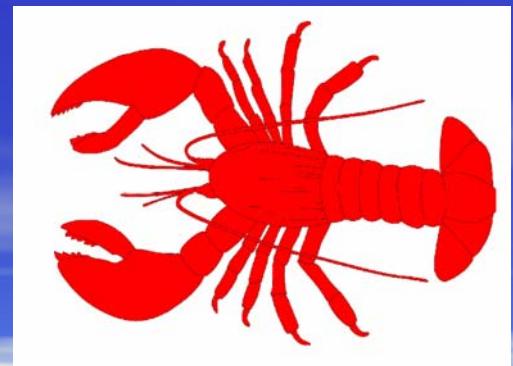
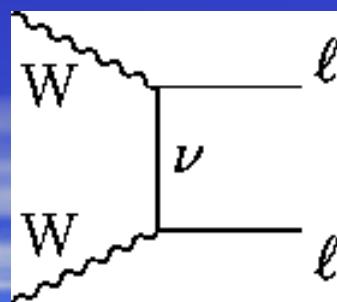
- $K^+ \rightarrow \pi^- \mu^+ \mu^+$

K. Zuber, Phys. Lett. B 479, 33 (2000)

- $e^+ p \rightarrow \bar{\nu}_e \mu^+ (\tau^+) \mu^+ (\tau^+) X$

M. Flanz, W. Rodejohann, K. Zuber,  
Phys. Lett. B 473, 324 (2000)  
W. Rodejohann, K. Zuber,  
Phys. Rev. D 62, 094017 (2000)

$$\langle m_{\alpha\beta} \rangle = \left| \sum_k U_{\alpha k} U_{\beta k} m_k \right| = \left| \sum_k |U_{\alpha k} U_{\beta k}| m_k \eta_k^{CP} \right|$$

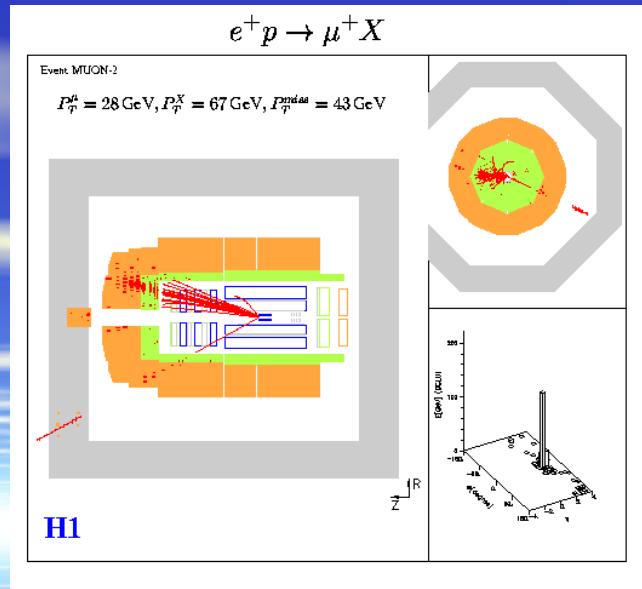


limits on  $\langle m_{\mathcal{O}} \rangle$  (in GeV)

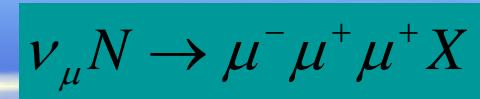
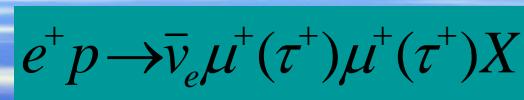
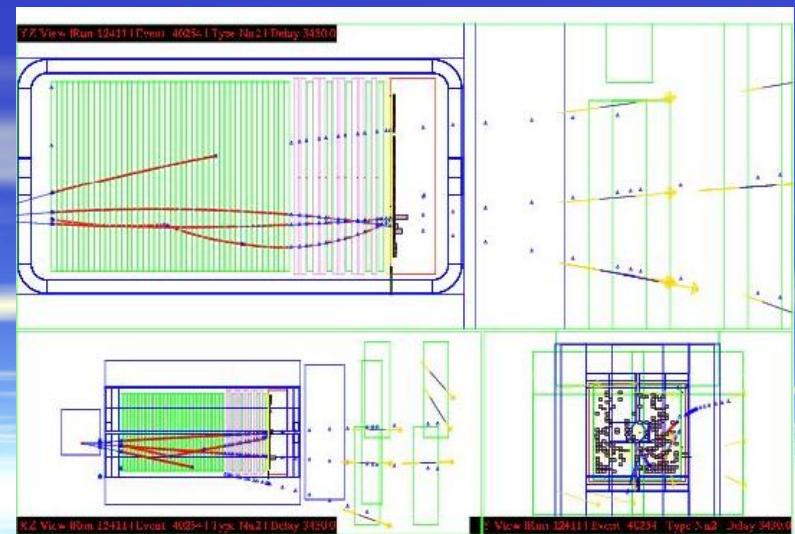
$3.5 \cdot 10^{-10}$	$1.7 (8.2) \cdot 10^{-2}$	$8.4 \cdot 10^3$
	500	$8.7 \cdot 10^3$
		$2.0 \cdot 10^4$

# Event candidates

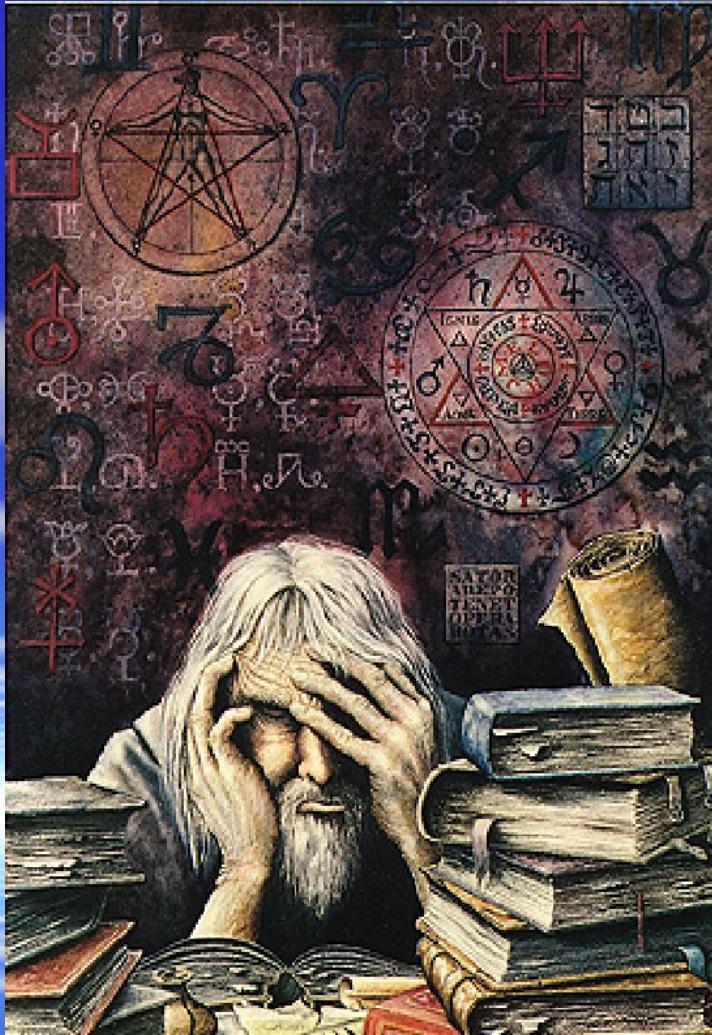
H1 charged current event



NOMAD Trimuon event



# Contents



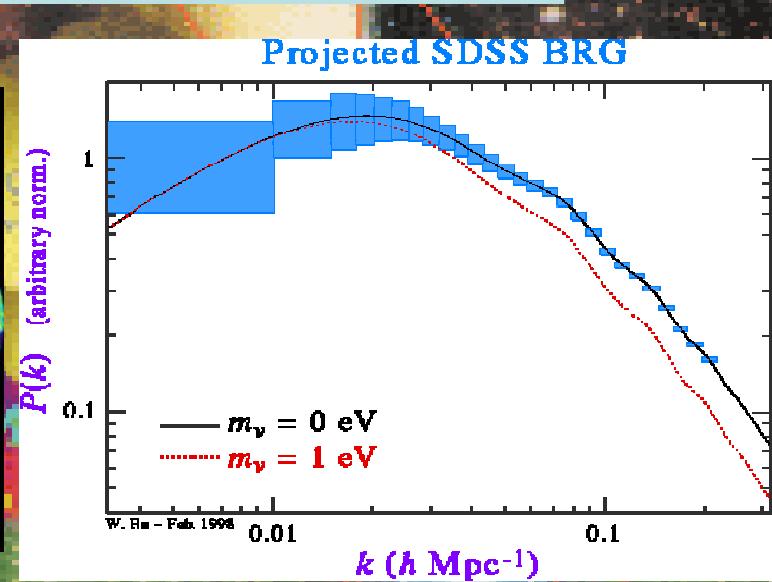
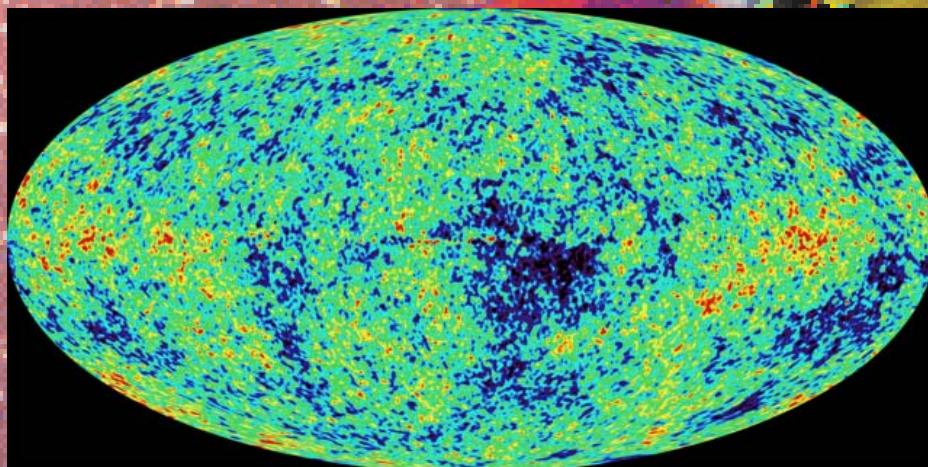
- Beta decay
- Double beta decay
- Cosmological neutrino mass bounds
- Summary and conclusions

# Neutrino masses from cosmology

$$n_\nu = \frac{6\zeta(3)}{11\pi^2} T_{CMB}^3 \approx 112 \text{ cm}^{-3}$$

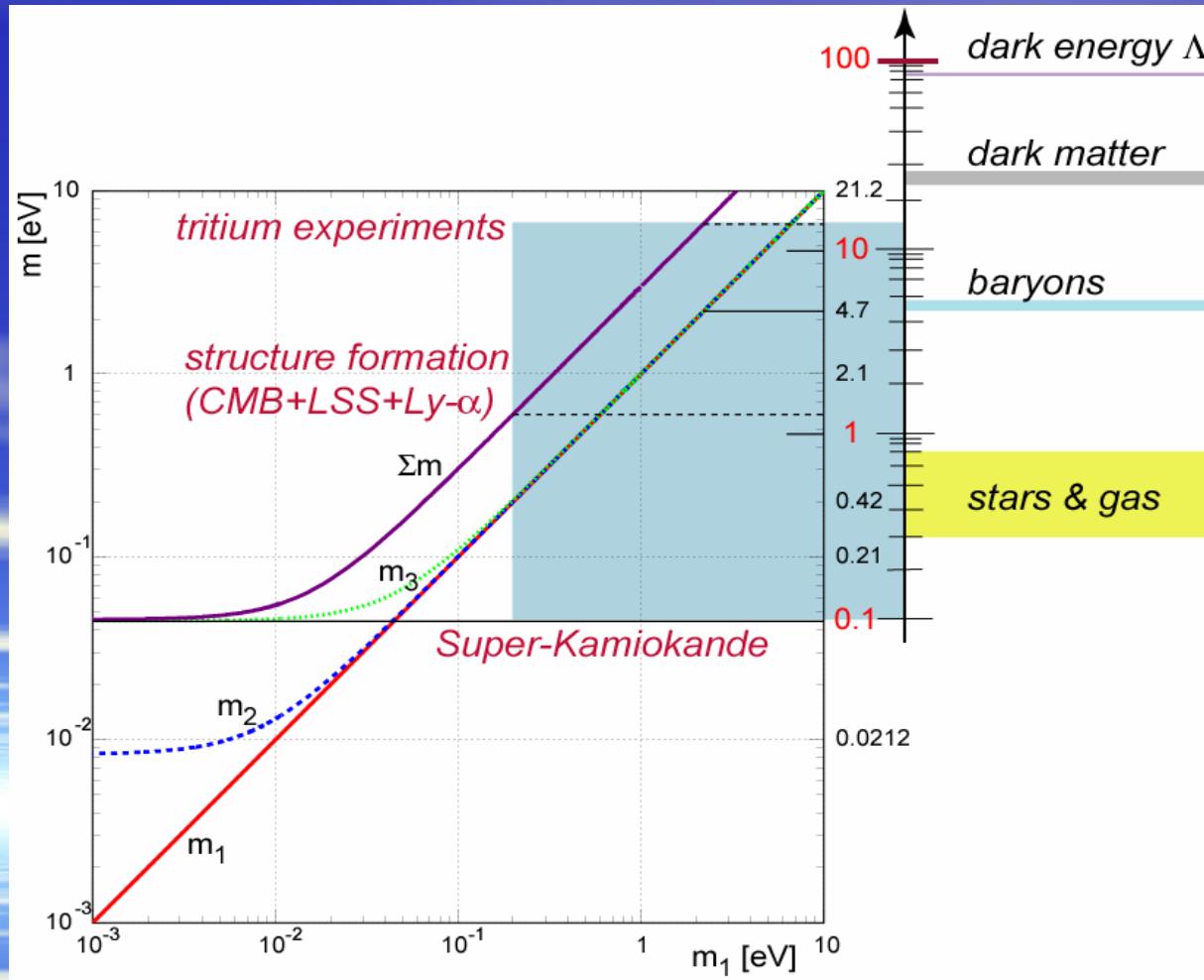
$$\Omega_\nu h^2 = \frac{m_{\nu, \text{tot}}}{94 \text{ eV}}$$

New WMAP measurement + SDSS data

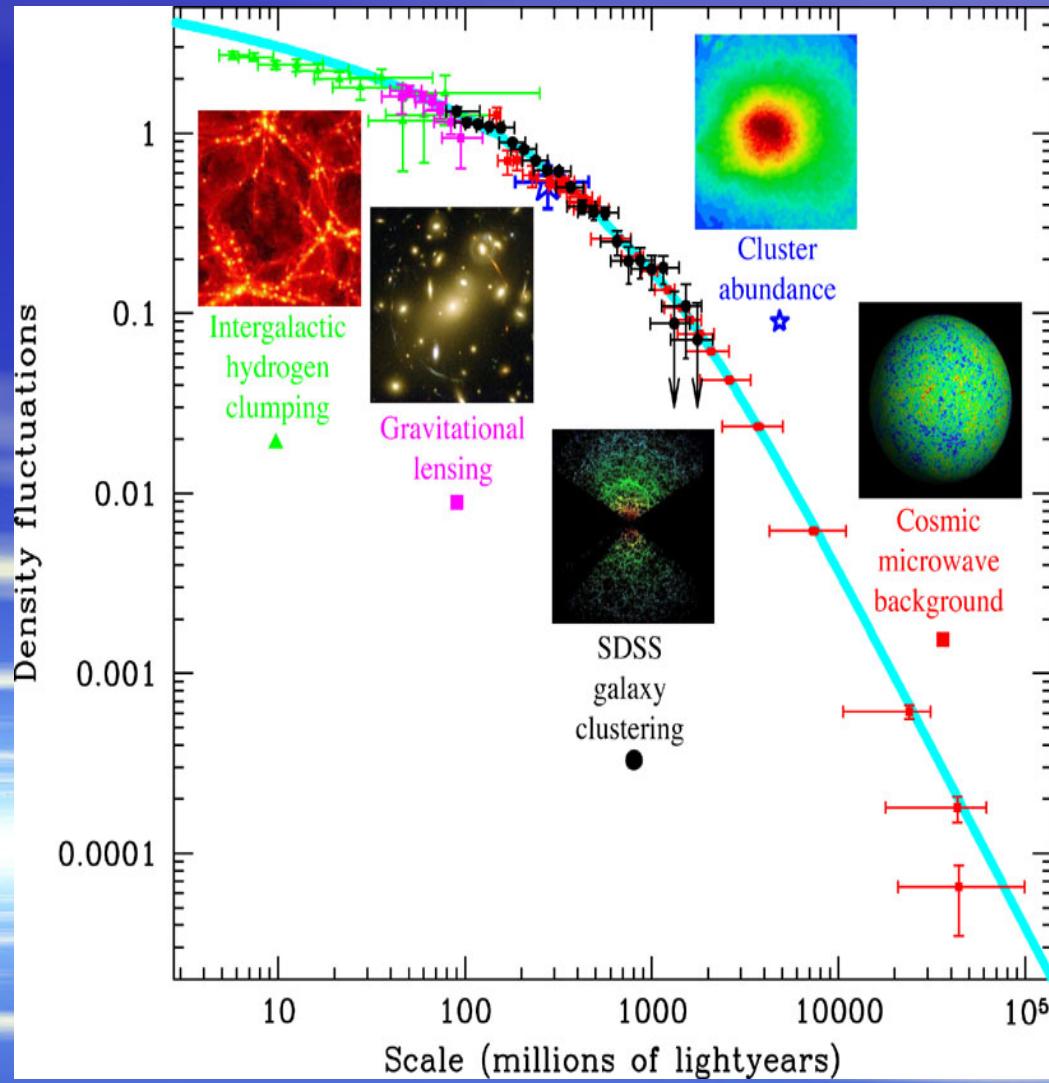


Mass bound model dependent, currently done within  $\Lambda$ CDM

# Neutrinos mass density



# Density fluctuations versus scale



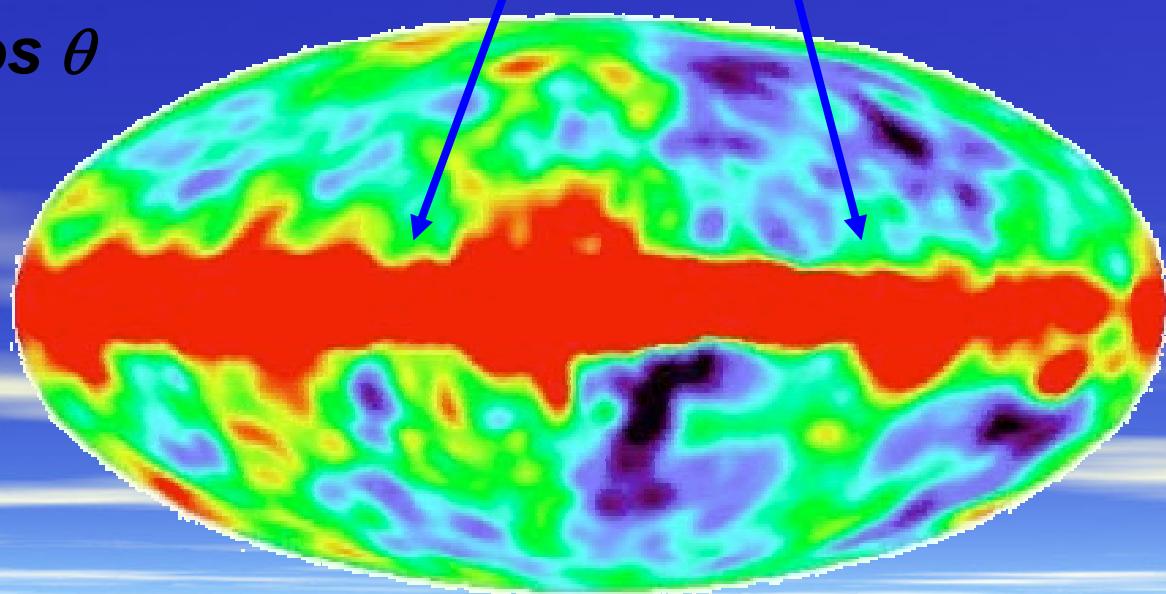
*M. Tegmark*

(free after Coleridge, 1798)

# Structure, structure everywhere

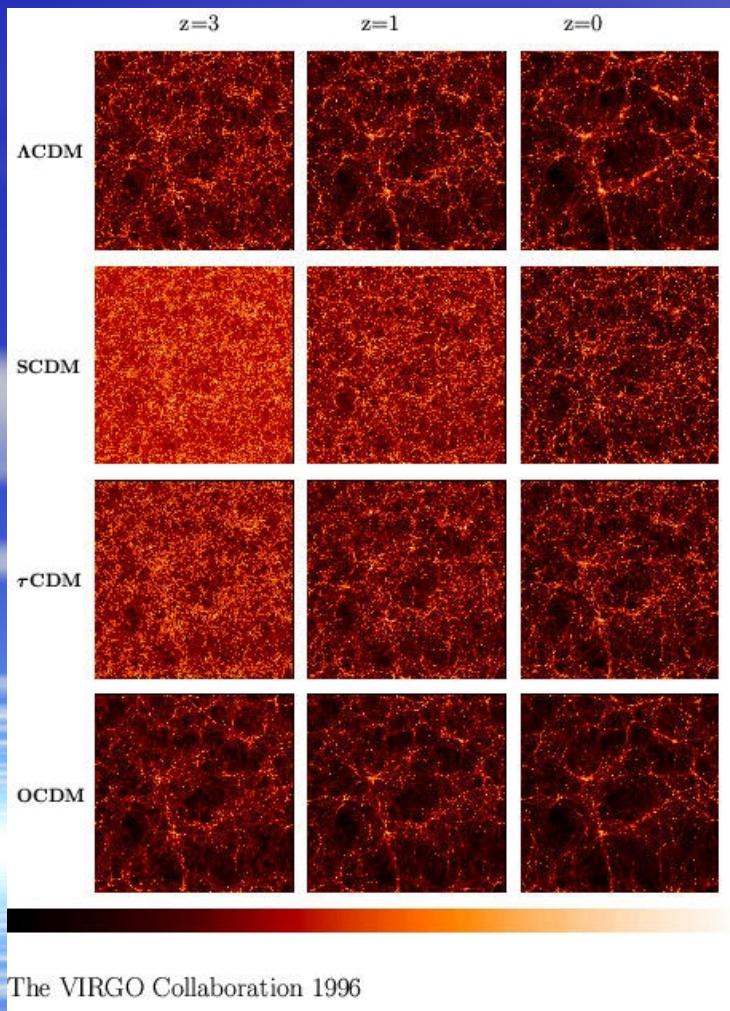
$$C(\theta) = \langle \Delta T(n)\Delta T(n') \rangle$$

$$\vec{n}\vec{n}' = \cos \theta$$



Expansion in spherical harmonics  
Legendre polynomials

# Large scale structure - Description



Measure autocorrelation function

$$\xi(r) = \langle \delta(x) \delta(x + r) \rangle$$

Fourier transformed

$$\xi(r) = \frac{V}{(2\pi)^3} \int |\delta_k|^2 e^{-ikr} d^3k$$

Power spectrum  $\langle |\delta_k|^2 \rangle \propto k^n$

Inflation predicts:  $n=1$   
(Harrison - Zeldovich spectrum)

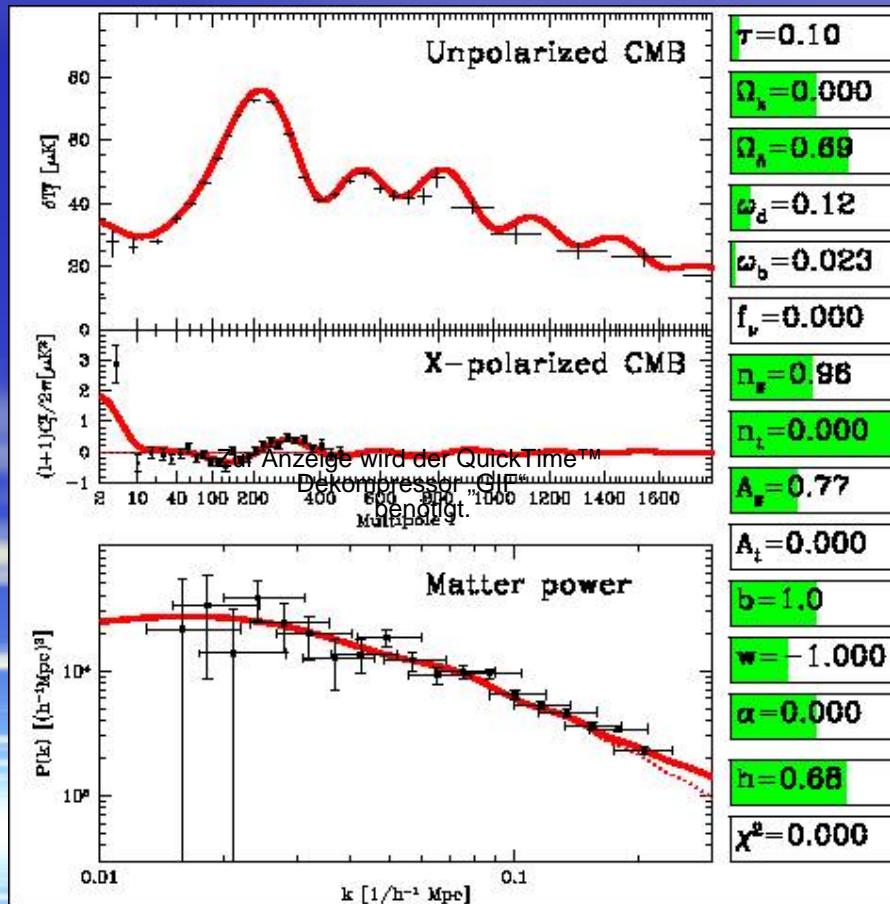
# Neutrinos and the CMB

The CMB alone is NOT sensitive to massive neutrinos.

The WMAP result (Spergel et al. 2003) of  
 $m_\nu < 0.69 \text{ eV}$  (95% CL) is based on  
WMAP+2dF+Ly- $\alpha$

- \* 2dF is sensitive to  $\Omega_\nu/\Omega_m$
- \* WMAP constrains  $\Omega_m$  (and other parameters)

# Neutrinos in cosmology



M. Tegmark

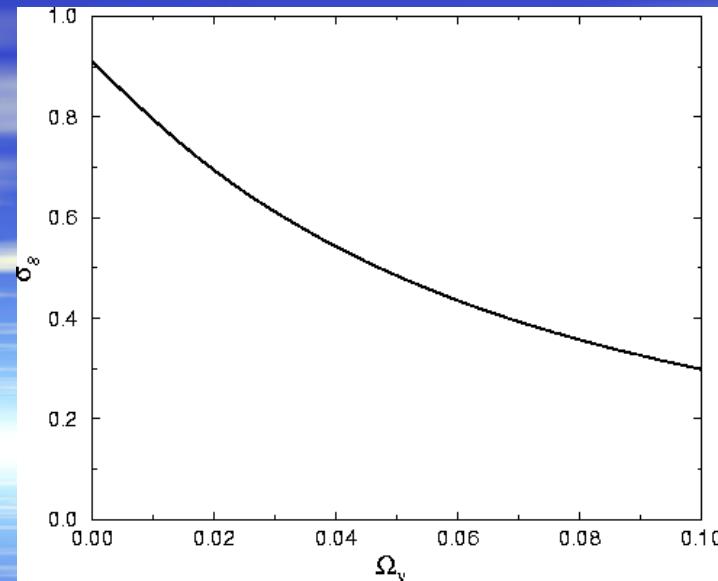
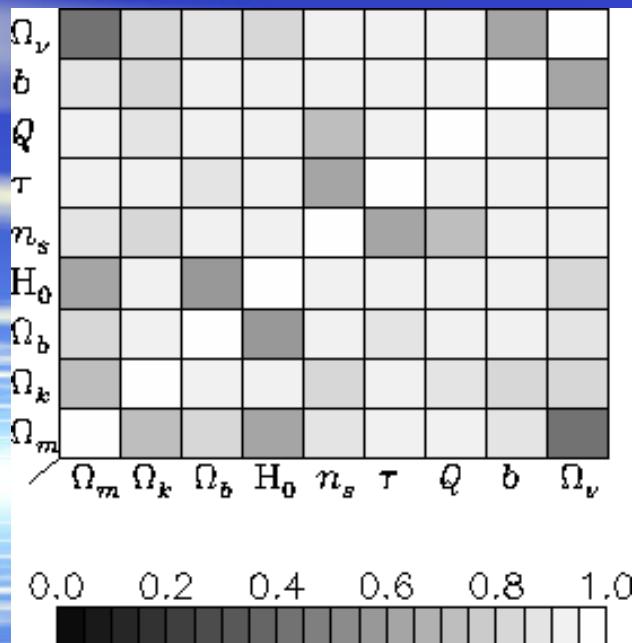
# CMB and Large scale structure

CMB necessary to fix other cosmological parameters

Neutrinos smear out small density fluctuations, change in power spectrum

$$\frac{\Delta P_m(k)}{P_m(k)} \approx -8 \frac{\Omega_\nu}{\Omega_m}$$

W. Hu, D. Eisenstein, M.Tegmark,  
Phys. Rev. Lett. 80, 5255 (1998)

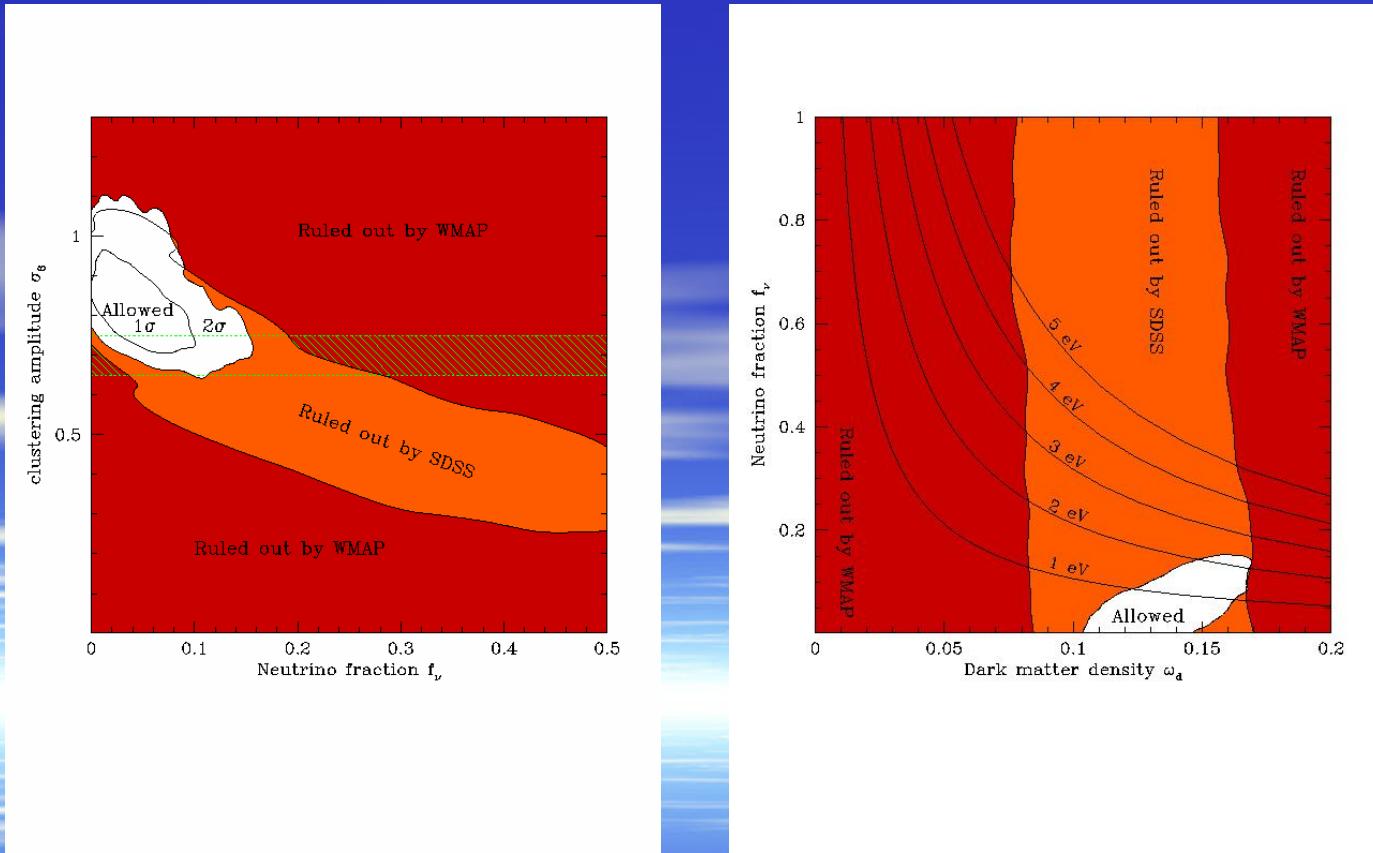


S. Hannestad, Phys. Rev. D 66, 125011 (2002)

O.Lahav, O. Elgaroy, astro-ph/0411092

# Combined SDSS and WMAP data

M. Tegmark et al., Phys. Rev. D 69, 103501 (2003)



# Neutrino mass from cosmology

O. Lahav, Neutrino 2004

Data	Authors	$m_\nu = \sum m_i$
2dFGRS	Elgaroy et al. 02	< 1.8 eV
WMAP+2dF+...	Spergel et al. 03	< 0.7 eV
WMAP+2dF	Hannestad 03	< 1.0 eV
SDSS+WMAP	Tegmark et al. 04	< 1.7 eV
WMAP+2dF+ SDSS	Crotty et al. 04	< 1.0 eV
Clusters +WMAP	Allen et al. 04	$0.56^{+0.30}_{-0.26}$ eV

All upper limits 95% CL, but different assumed priors !

# Conclusion

Beta decay: Independent of neutrino character

$$\mathbf{m}_e = \sum |U_{ek}|^2 \mathbf{m}_k$$

Double beta decay: Requires Majorana neutrinos

$$m_{ee} = |\sum U_{ek}^2 m_k|$$

Note:  $U_{PMNS}$  + 2 Majorana phases

Cosmology: Requires a cosmological model

$$\Omega_\nu h^2 = \frac{m_{\nu,tot}}{94 eV}$$

**Currently all of them give limits around 1 eV,  
future very exciting, because large improvements can be  
expected**